

**Summary of parties added as Defendants to the proceeding or granted leave to be heard  
(as per Court Order dated 5 September 2011)**

Party	Capacity of representation	Description of investor category (A)	Seek to be heard on issues in Interlocutory Process paragraph numbers (B)	Estimated number of investors in category	Approximate value of category as at 22 June 2010 \$
Seaborn International Pty Ltd (as trustee for the Seaborn Family Trust)	Self and Class	Himself and all clients of Sonray claiming an entitlement to any foreign currency in any of the Segregated Accounts held by Sonray, excluding any investors claiming an entitlement to the sum of USD \$778,630.49 in HSBC Singapore account number 260-696760-178 USD.	1, 6, 8, 10, 11, 12 & 13	78	2,772,979.55
Maryland Pty Ltd (as trustee for the Norwegian Trust)	Self and Class	Itself and all clients of Sonray in relation to the determination of the question of the basis upon which a client's entitlement to be paid money from the Segregated Accounts is to be determined.	1, 2, 4, 5A, 5B, 6, 8, 10, 11, 12, & 13	TBA	TBA
Maryland Pty Ltd (as trustee for the Norwegian Trust)	Self	Granted leave to be heard in the proceeding in relation to the question of its entitlement to the sum of USD \$778,630.49 in HSBC Singapore account number 260-696760-178 USD until further order.	1, 6, 8, 10, 11, 12 & 13	1	888,534.18
Alisante Pty Ltd and Bon River Pty Ltd	Class	All clients of Sonray that transferred shares from that client's Holder Identification Number (HIN) to the HIN of a Trading Platform.	6 & 8	37	2,780,857.05
Roland Mark Ward (as trustee for the Award Superannuation Fund ABN 66 473 078 418)	Self and Class	Himself and all clients of Sonray claiming an entitlement to financial instruments and/ or money held by Interactive Brokers, excluding those clients represented by Alisante Pty Ltd and Bon River Pty Ltd.	4, 5A, 5B, 6, 7, 8, 10, 11, 12 & 13	210	8,829,887.30
Efax Pty Ltd ACN 001 886 120	Self and Class	Itself and all clients of Sonray claiming an entitlement to shares acquired on the instructions of Sonray on behalf of clients of Sonray that instructed the acquisition of shares and paid or released money to Sonray for the purchase thereof, and dividends paid or declared on the relevant shares, which shares and dividends are held by or on behalf of Saxo, excluding: (1) clients represented by Alisante Pty Ltd and Bon River Pty Ltd; and (2) clients of Sonray who used a form of client agreement and disclosure document different to that used by Efax Pty Ltd (subject to a determination of whether it is appropriate that such clients are in the same class or category of client as Efax Pty Ltd).	4, 5A, 5B, 6 & 11	766	20,482,168.55
Travel Arcade Pty Ltd and Robert Joseph Scolaro	Self	Granted leave to be heard by way of written submissions by Robert Joseph Scolaro dated 1 August 2011. These submissions are relevant to the following category of investors:			
		<ul style="list-style-type: none"> <li>■ All clients of Sonray claiming an entitlement to financial instruments and/ or money held by Interactive Brokers</li> </ul>	4, 5A, 5B, 6, 7, 8, 10, 11, 12 & 13	210	8,829,887.30
		<ul style="list-style-type: none"> <li>■ All clients claiming an entitlement to money in the Segregated Accounts</li> </ul>	1, 6, 8, 10, 11, 12 & 13	3,521	48,700,316.38
C	Class	All clients of Sonray claiming an entitlement to financial instruments and/or money which was held by Macquarie, MF Global and FXCM. The liquidators continue to investigate the flow of funds between Sonray and these providers as well as identifying which investors held accounts with these providers.	4, 5A, 5B	TBA	7,214,516.21
C	Class	All clients of Sonray claiming that 22 June 2010 is <u>not</u> the appropriate date to calculate investors' entitlements to the Segregated Accounts	2	3521	76,091,704.75

A If Investors are not aware of the category or categories to which their claim relates, please contact Christopher Rando on (03) 9604 5105 or email sonray@fh.com.au

B A copy of the amended Interlocutory Process is available at the Ferrier Hodgson Website (www.fh.com.au).

C No investor has yet applied to be heard.